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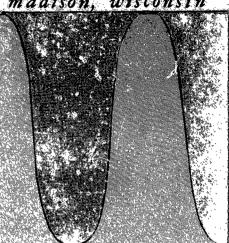
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POWER SERIES WHOSE SECTIONS HAVE ZEROS OF LARGE MODULUS

J. D. Buckholtz

MRC Technical Summary Report #398 April 1963



UNITED STATES ARMY

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## MATHEMATICS RESEARCH CENTER, UNITED STATES ARMY THE UNIVERSITY OF WISCONSIN

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#### ABSTRACT

Given a power series,  $\sum_{n} a_{p} z^{p}$ , let  $r_{n}$  denote the smallest modulus of a zero of  $s_{n}(z) = \sum_{p=0}^{n} a_{p} z^{p}$ ,  $n=1, 2, 3, \ldots$ . Upper and lower estimates for  $r_{n}$  are obtained under the hypothesis that  $\sum_{n} a_{p} z^{p}$  is the power series for an entire function without zeros. For certain classes of such series, asymptotic formulas for  $r_{n}$  are derived. Characterizations (in terms of  $r_{n}$ ) are obtained for entire functions of the forms exp  $\{P(z)\}$  and exp $\{g(z)\}$ , where P(z) is a polynomial and g(z) is an entire function of finite order.

#### POWER SERIES WHOSE SECTIONS HAVE ZEROS OF LARGE MODULUS

#### J. D. Buckholtz

1. Introduction. Several theorems in the theory of polynomials deal with the problem of obtaining bounds for the modulus of one or more zeros of a polynomial,  $a_0 + a_1 z + \ldots + a_n z^n$ , when certain of the coefficients,  $a_0$ ,  $a_1$ ,  $\ldots$   $a_k$ , are regarded as fixed, and the remaining are arbitrary (cf. [3, ch. 8]). In the present paper we apply results of this nature to partial sums of a power series  $\sum a_n z^p$ .

For each positive integer n,  $r_n$  will denote the radius of the largest circle with center at z=0 whose interior contains no zero of the  $\underline{n}$ th partial sum,

$$s_n(z) = \sum_{p=0}^{n} a_p z^p$$
.

We shall be concerned primarily with growth properties of the sequence  $\{r_n\}$ . The most interesting case is that in which  $\sum a_p z^p$  is the power series for an entire function which omits the value zero. It is not hard to show that this is equivalent to having  $\lim r_n = \infty$ ; one can, however, construct other power series for which  $\lim \sup r_n = \infty$ .

Since nothing is lost by doing so, we shall always suppose that  $a_0 = 1$ . This assumption will be used freely and without explicit mention. For notational Sponsored by the Mathematics Research Center, United States Army, Madison, Wisconsin under Contract No.: DA-11-022-ORD-2059.

convenience  $\sum$  and  $\sum$  will denote sums taken over the nonnegative and positive integers respectively. When there is no possibility of ambiguity, "lim" will denote a limit taken as the variable becomes infinite.

In §2, upper bounds for  $r_n$  are obtained from algebraic relations between the zeros of  $s_n(z)$  and the "first few" of the numbers  $a_1$ ,  $a_2$ ,  $a_3$ , ... From algebraic considerations alone, we show that

(1.1) 
$$r_n = n^{o(1)}$$
,

except possibly for certain "exceptional" power series, and, further, that these exceptions must be power series of the form  $\exp\{P(z)\}$ , where P(z) is a polynomial.

In §3 we use analytic methods to obtain lower bounds for  $r_n$  in case  $\sum a_p z^p$  is an entire function without zeros. We are able to show that the "apparent exceptions" to (1.1) are actual exceptions, and thus characterize entire functions of the form  $\exp\{P(z)\}$  for P(z) a polynomial.

Taken together, the upper and lower bounds yield a number of asymptotic properties of the sequence  $\{r_n\}$ . For  $\sum a_p z^p = \exp\{g(z)\}$ , where g(z) is an entire function of order  $\rho$ , we show that

$$\lim \sup \frac{\log\log n}{\log r_n} = \rho .$$

This and similar results are discussed §4 .

In §5 we prove that

(1.2) 
$$\lim \sup_{n} r_n |a_n|^{1/n} = 1$$
,

provided  $\sum a_p z^p$  is an entire function of infinite order without zeros. Using (1.2) and the observation that  $|a_n|^{-1/n}$  is the geometric mean of the moduli of zeros of  $s_n(z)$ , we deduce the following: if  $\sum a_p z^p$  is an entire function of infinite order without zeros, and  $\epsilon$  and  $\epsilon'$  are positive numbers, then, for infinitely many integers n, fewer than  $n\epsilon'$  zeros of  $s_n(z)$  have moduli greater than  $r_n(1+\epsilon)$ . This result is of some interest in connection with theorems of F. Carlson [2] and P. C. Rosenbloom [7] on zeros of sections of entire series of infinite order.

2. Upper bounds for  $r_n$  . Let  $\sum^i b_p z^p$  be the power series obtained formally from the identity

$$\frac{\sum_{pa} z^{p-1}}{\sum_{a} z^{p}} = \sum^{p} pb_{p} z^{p-1} .$$

Theorem 2.1. If k is a positive integer such that  $b_k \neq 0$ , and  $n \geq k$ , then  $s_n(z)$  has a zero in the disc

$$|z| \le \left\{ \frac{n}{k |b_k|} \right\}^{1/k} .$$

Proof. If one lets

$$\frac{s_n'(z)}{s_n(z)} = \sum^t p b_p^{(n)} z^{p-1}$$

and observes that  $b_p^{(n)} = b_p$  for  $p \le n$ , the result then follows from a theorem of G. Sz. Nagy [4, 5, and 3, p. 43, ex. 2].

As a consequence of the above, we have

(2.1) 
$$r_n = O(n^{1/k})$$

for every value of k for which  $b_k \neq 0$ . If  $\sum a_p z^p = \exp\{P(z)\}$  for some polynomial P(z), then (2.1) holds with k equal to the degree of P(z). If  $\sum a_p z^p$  is not a power series of this form, then (2.1) holds for infinitely many k, and we have

(2.2) 
$$r_n = n^{o(1)}$$
.

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Corollary 2.2 .

(2.3) 
$$\lim \inf \frac{\log r_n}{\log \log n} \leq \lim \inf \frac{\log (1/\lfloor b_k \rfloor)}{k \log k}.$$

Proof. From theorem 2.1 we have

$$\frac{\log r}{\log k} \leq \frac{\log n}{k \log k} - \frac{1}{k} + \frac{\log(1/\lfloor b_k \rfloor)}{k \log k}.$$

Choose n = n(k) so that  $\log n \sim k$  and let  $k \to \infty$ .

The above result is of particular interest if  $\sum_{p}^{p} z^{p} = g(z)$ , where g(z) is an entire function of order  $\rho$ . We then have  $\sum_{p} a_{p} z^{p} = \exp\{g(z)\}$ , and

(2.4) 
$$\limsup \frac{\log \log n}{\log r_n} \geq \rho ,$$

since the right hand side of (2.3) is  $1/\,\rho$  .

(2.5) 
$$\mu(r) = \max_{p} |b_{p}| r^{p}$$
,

and the <u>central index</u>, v(r), which is the largest integer m such that

$$\mu(\mathbf{r}) = [\mathbf{b}_{\mathbf{m}}] \mathbf{r}^{\mathbf{m}}$$

Theorem 2.3. Let  $\sum a_p z^p = \exp\{g(z)\}$ , where  $g(z) = \sum^r b_p z^p$  is an entire function of finite order. For each n, let  $\beta_n$  be the positive number such that  $\mu(\beta_n) = n$ , where  $\mu(r)$  is defined by (2.5). Then for all sufficiently large n,  $s_n(z)$  has a zero in the disc  $|z| \leq \beta_n$ .

Proof. From Theorem 2.1,

$$r_n \leq \left\{\frac{n}{k |b_k|}\right\}^{1/k} \leq \left\{\frac{n}{|b_k|}\right\}^{1/k} .$$

Let  $k = \nu(\beta_n)$ . Then  $|b_k| \beta_n^k = \mu(\beta_n) = n$ . Therefore  $r_n \le \beta_n$ .

It remains to show that  $k \le n$ , or equivalently, that  $\nu(\beta_n) \le \mu(\beta_n)$  . This is true provided the inequality

(2.6) 
$$v(r) < \mu(r)$$

holds for all sufficiently large r . A proof of (2.6) follows easily from the relation [11, p. 34]

$$\lim \sup \frac{\log v(r)}{\log r} = \rho ,$$

where  $\rho$  is the order of g(z). The hypothesis that  $\rho$  is finite can, consequently, be replaced by (2.6).

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3. Lower bounds for  $r_n$ . We obtain lower bounds for the numbers  $r_n$  under the assumption that  $\sum a_p z^p = \exp\{g(z)\}$ , where  $g(z) = \sum^i b_p z^p$  is an entire function. We shall use G(z) to denote the majorant of g(z) defined by

(3.1) 
$$G(z) = \sum_{p} |b_{p}| z^{p}$$
.

We note for future use that the order of G(z) is the same as that of g(z); we shall also need the inequality

(3.2) 
$$\sum |a_p| r^p \le \exp\{G(r)\} \underline{if} r \ge 0.$$

A proof of (3.2) follows from expanding  $\exp\{G(r)\}$  as a power series in r and observing that the coefficient of  $r^p$  is at least as great as  $|a_p|$ .

Theorem 3.1. Let  $\sum a_p a^p = \exp \{g(z)\}$ , where g(z) is an entire function with majorant G(z) defined by (3.1). If n is a positive integer, then

(3.3) 
$$r_n > r \exp \left\{-\frac{2G(r)}{n}\right\} \text{ for all } r \ge 0.$$

In particular, if  $\alpha_n$  is the positive number such that  $G(\alpha_n) = n$ , then

$$r_n > \frac{\alpha_n}{e^2} .$$

Furthermore, if g(z) is not a polynomial, then for  $\epsilon > 0$  one has

$$(3.5) r_n > \alpha_n (1 - \epsilon_n)$$

for all sufficiently large n .

Proof. Suppose r>0 and let  $f(z)=\sum a_p z^p$ . We shall establish (3.3) by showing that, if  $|z|\leq r\exp\{-2\,G(r)\,/\,n\}$ , then

$$\left|1-\frac{s_n(z)}{f(z)}\right|<1,$$

and therefore  $s_n(z) \neq 0$  . This is obvious if z=0; if  $0<|z| \leq r \exp\{-2G(r)/n\}$ , then 0<|z| < r, and

$$\frac{1}{|f(z)|} = |e^{-g(z)}| < \exp\{G(r)\}$$
.

Also,

$$|f(z) - s_n(z)| = |\sum_{p=n+1}^{\infty} a_p z^p|$$

$$\leq |\frac{z}{r}|^n \sum_{p=n+1}^{\infty} |a_p| r^p |\frac{z}{r}|^{p-n}$$

$$< |\frac{z}{r}| \sum_{p=n+1}^{\infty} |a_p| r^p$$

$$< |\frac{z}{r}|^n \sum_{p=n+1}^{\infty} |a_p| r^p$$

$$\leq |\frac{z}{r}|^n \exp \{G(r)\}$$

by virtue of (3.2) . Therefore

$$\left|1 - \frac{s_n(z)}{f(z)}\right| = \left|\frac{f(z) - s_n(z)}{f(z)}\right|$$

$$< \left[\left|\frac{z}{r}\right| \exp\left\{\frac{2G(r)}{n}\right\}\right]^n$$

$$\leq 1,$$

since  $|z| \le r \exp \{-2G(r)/n\}$ . This proves (3.3). If  $r = \alpha_n$ , we have (3.4).

The proof of (3.5) depends on the following property of G(r) [6, vol. 2, p. 4]: if g(z) is not a polynomial and 0 < c < 1, then

(3.6) 
$$\lim_{r\to\infty} \frac{G(cr)}{G(r)} = 0.$$

We now make use of (3.6) and the sequence  $\{\alpha_n\}$  to construct a sequence  $\{c_n\}$  such that

$$\lim_{n \to \infty} c_n = 1$$
 and  $\lim_{n \to \infty} \frac{G(c_n \alpha_n)}{G(\alpha_n)} = 0$ .

If in (3.3) we let  $r = c_n \alpha_n$ , we have

$$r_n \ge c_n \alpha_n \exp \left\{-\frac{2G(c_n \alpha_n)}{n}\right\}$$

$$= c_n \alpha_n \exp \left\{-\frac{2G(c_n \alpha_n)}{G(\alpha_n)}\right\}.$$

Since

$$\lim c_n \exp \left\{-\frac{2G(c_n \alpha_n)}{G(\alpha_n)}\right\} = 1 ,$$

this proves (3.5).

4. Asymptotic properties of the sequence  $\{r_n\}$ . In a number of cases, fairly precise information about the sequence  $\{r_n\}$  can be obtained by comparing the upper bounds of §2 with the lower bounds developed in §3.

Theorem 4.1. If  $\sum a_p z^p = \exp\{P(z)\}$ , where P(z) is a polynomial of degree k, then there are positive numbers A and B such that

$$An^{1/k} < r_n < Bn^{1/k}, n = 1, 2, 3, ...$$

The proof, which is omitted, follows easily from (2.1) and (3.4).

In view of (2.2), one sees that Theorem 4.1 characterizes power series for entire functions of the form  $\exp\{P(z)\}$ . Among all power series, the exponential series (more accurately the series for  $ae^{bz}$ ) is the only one for which  $r_n$  increases as rapidly as a linear function. Zeros of sections and remainders of this series have been investigated by G. Szegő [9].

Theorem 4.2 is to some extent analogous to a theorem of M. Tsuji [10] on the maximum modulus of zeros of sections of an entire series.

Theorem 4.2. If  $\sum a_p z^p = \exp\{g(z)\}$ , where g(z) is an entire function of order  $\rho$  ( $0 \le \rho \le \infty$ ), then

$$\lim \sup \frac{\log \log n}{\log r_n} = \rho .$$

Proof. Since the order of G(z) is also  $\rho$ , we have

$$\rho = \limsup_{r \to \infty} \frac{\log \log G(r)}{\log r} \ge \limsup_{n \to \infty} \frac{\log \log n}{\log \alpha_n}$$

$$\ge \limsup_{r \to \infty} \frac{\log \log n}{\log n}$$

$$\ge \limsup_{r \to \infty} \frac{\log \log n}{\log n}$$

by (3.4) . Since  $\log(r_n e^2) \sim \log r_n$ ,

This, together with (2.4), completes the proof.

If in Theorem 4.2  $0 < \rho < \infty$  and g(z) is of type  $\tau (0 \le \tau \le \infty)$ , one can prove a sharper result, namely, that

$$\lim \sup \frac{\log n}{r_n^{\rho}} = \tau .$$

For this one needs (3.5) in place of (3.4); the " $\geq$ " half of the result is obtained from Theorem 2.1 by a procedure similar to the proof of Corollary 2.2. In this case one chooses n = n(k) so that  $\log n \sim k/\rho$ .

If g(z) is of finite order, the asymptotic relation [6, vol. 2, p. 8]

(4.1) 
$$\log G(r) \sim \log \mu(r, G) = \log \mu(r, g)$$

can be used to obtain information about the relative sizes of  $\,\alpha_{n}^{}\,$  and  $\,\beta_{n}^{}\,$  .

Theorem 4.3. If  $\sum a_p z^p = \exp\{g(z)\}$ , where g(z) is an entire function of finite order, then

$$\log \alpha_n \sim \log r_n \sim \log \beta_n$$
 .

Proof. Since  $\alpha_n e^{-2} < r_n \le \beta_n$  for large n, it suffices to prove that  $\log \alpha_n \sim \log \beta_n$ . Using (4.1), we have

$$\log \, G(\beta_n) \sim \log \mu(\beta_n) = \log \, n = \log \, G(\alpha_n) \ ,$$

so that

(4.2) 
$$\log G(\alpha_n) \sim \log G(\beta_n)$$
.

Since log G(r) is an increasing and convex function of log r, one can conclude that log  $\alpha_n \sim \log \beta_n$  . This completes the proof.

Convexity of log G(exp(r)) is considerably more than one needs for the proof of Theorem 4.3. We take advantage of this to prove that  $\alpha_n \sim r_n \sim \beta_n$  under an added hypothesis that G(r) satisfies a relatively weak growth condition.

Theorem 4.4. Let  $\sum a_p z^p = \exp\{g(z)\}$ , where g(z) is an entire function of finite order. Suppose in addition that  $\log G(r) \sim r^{\delta} H(r)$ , where  $\delta > 0$  and H(r) is nondecreasing. Then  $\alpha_n \sim r_n \sim \beta_n$ .

Proof. Suppose  $\epsilon>0$ . The condition on G(r) guarantees that g(z) is not a polynomial; therefore  $\alpha_n(1-\epsilon)< r_n \le \beta_n$  for sufficiently large n, and we need only to prove that  $\alpha_n\sim\beta_n$ .

From (4.2) and the condition on G(r), we have  $(\alpha_n/\beta_n)^\delta \sim H(\beta_n)/H(\alpha_n)$ . But  $(\alpha_n/\beta_n)^\delta \leq 1$ , and  $H(\beta_n)/H(\alpha_n) \geq 1$  since H(r) is nondecreasing. Therefore  $\alpha_n \sim \beta_n$ .

As a special case of the above, we note that the condition

$$\log G(r) \sim \tau r^{\rho}$$

for positive numbers  $\rho$  and  $\tau$  implies that

$$r_n \sim \left\{ \frac{\log n}{\tau} \right\}^{1/\rho}$$
 .

5. A relation between  $\{r_n\}$  and  $\{a_n\}$ . In this section we restrict our attention to the case  $\sum a_p z^p = \exp\{g(z)\}$ , where g(z) is an entire function which is not a polynomial. This is equivalent to requiring that  $\sum a_p z^p$  be an entire function of infinite order without zeros. We shall compare the lower bound (3.5) for  $r_n$  with the "obvious" upper bound,

(5.1) 
$$r_n \le \frac{1}{|a_n|^{1/n}} \quad \text{if } a_n \ne 0$$
.

(The right hand side of (5.1) is the geometric mean of the moduli of zeros of  $s_n(z)$ .) Our principal result is the following:

Theorem 5.1. If  $\sum a_p z^p$  is an entire function of infinite order without zeros, then

$$\lim \sup \alpha_n \left| a_n \right|^{1/n} = \lim \sup r_n \left| a_n \right|^{1/n} = 1 .$$

Before proving Theorem 5.1 we shall consider two of its corollaries.

Corollary 5.2. Let  $\sum a_p z^p$  satisfy the hypothesis of Theorem 5.1. If  $\epsilon > 0$ , then

$$\alpha_n(1-\epsilon) < r_n \text{ and } r_n |a_n|^{1/n} \le 1$$

for all sufficiently large n, and

$$\alpha_n(1+\epsilon) > r_n > \frac{1-\epsilon}{|a_n|^{1/n}}$$

for infinitely many n .

Proof. Theorem 5.1 and inequalities (3.5) and (5.1).

One sees, therefore, that (3.5) and (5.1) are, in a sense, "best possible" results.

Corollary 5.3. Let  $\sum a_p z^p$  satisfy the hypothesis of Theorem 5.1. If  $\epsilon > 0$  and  $\epsilon' > 0$ , then for infinitely many integers n, fewer than n  $\epsilon'$  zeros of  $s_n(z)$  have moduli greater than  $r_n(1+\epsilon)$ .

Proof. Choose  $\delta$  so that

$$0 < \delta < 1 - (1 + \epsilon)^{-\epsilon^{i}}.$$

If n is a positive integer for which

$$r_n > \frac{1-\delta}{|a_n|^{1/n}},$$

then an easy calculation shows that fewer than n  $\epsilon$  'zeros of s (z) have moduli larger than r (1+  $\epsilon$ ) .

Proof of Theorem 5.1. From (3.5) and (5.1) it follows that

$$\lim\sup\alpha_n\left|a_n\right|^{1/n}\leq\lim\sup r_n\left|a_n\right|^{1/n}\leq 1\ ;$$

consequently we have only to prove that

(5.2) 
$$\lim \sup_{\alpha_n} |a_n|^{1/n} \ge 1.$$

For clarity, the proof of (5.2) will be divided into three lemmas. In the first two, the hypothesis of Theorem 5.1 is presupposed.

Lemma 5.la. For all r > 0,

(5.3) 
$$\alpha_{n} > r \exp\{-\frac{2G(r)}{n}\}$$
.

Proof. The function  $r \exp \{-2G(r)/n\}$  assumes its maximum at the number  $r = \gamma_n$  such that  $2\gamma_n G'(\gamma_n) = n$ . Since 2r G'(r) > G(r) for all r > 0, we have

$$\alpha_n > \gamma_n > \gamma_n \exp \left\{-\frac{2G(\gamma_n)}{n}\right\}$$
.

Lemma 5.1b. If  $\epsilon > 0$ , then

$$\alpha_{n} > \frac{r}{1+\epsilon} \left[ M(r) \right]^{-8/n\epsilon} \underline{\text{for all } r > 0, \underline{\text{where}}}$$

$$M(r) = \max_{|z|=r} |\sum_{p} a_{p} z^{p}|.$$

Proof. The proof depends on the following variant of the Borel-Carathéodory inequality (proved, but not explicitly stated, in [11, pp. 17-20]):

If 0 < r < R and  $\sum_{i=1}^{n} b_{p} z^{p}$  is an entire function, then

(5.5) 
$$\sum_{p} |p| r^{p} \leq \frac{4r}{R-r} A(R) ,$$

where A(R) = 
$$\max_{|z|=R} \{ \text{Re } \sum_{p}^{n} b_{p} z^{p} \}$$
.

If we rewrite (5.3) in the form

$$\alpha_n > \frac{r}{1+\epsilon} \exp\left\{-\frac{2}{n} G\left(\frac{r}{1+\epsilon}\right)\right\}$$

and in (5.5) replace r and R by  $r/(1+\epsilon)$  and r respectively, we have

$$\alpha_n > \frac{r}{1+\epsilon} \exp \left\{ -\frac{8}{n\epsilon} A(r) \right\}$$
.

Since  $A(r) = \log M(r)$ , the result follows.

Our third lemma is of a more general nature and applies to all entire functions  $\sum a_p z^p$  of infinite order. (The condition  $a_0 = 1$  is still presupposed, although the result is true without it.)

Lemma 5.1c. Suppose that  $\sum a_p z^p$  is an entire function of infinite order and  $K \ge 1$ . Let

$$u_n = u_n(K) = \min_{r>0} \frac{[M(r)]^K}{r^n}, \quad n = 1, 2, 3, ...,$$

where M(r) is given by (5.4) . Then

$$\lim_{n\to\infty} \sup \frac{\left|a_n\right|^{1/n}}{\left|u_n\right|^{1/n}} = 1.$$

Proof. For all r > 0,

$$|a_n| \le \frac{M(r)}{r^n} \le \frac{[M(r)]^K}{r^n}$$

by the Cauchy inequality. Therefore  $|a_n| \leq u_n$ , and the proof will be complete if we show that

(5.6) 
$$\lim \sup \frac{\left|a_{n}\right|^{1/n}}{u_{n}^{1/n}} \ge 1 .$$

To establish (5.6), we observe that

$$M(r) \leq \sum |a_p| r^p \leq \sum \Psi_p r^p$$
,

where  $\{n, \Psi_n\}$ ,  $n = 0, 1, 2, \ldots$  are points on the Newton polygon associated with  $\sum a_p z^p$  (cf. [11, ch. 2] and [6, vol. 2, ch. 1]). Let  $d_0 = 1$  and  $d_n = \Psi_{n-1}/\Psi_n$ ,  $n = 1, 2, 3, \ldots$ . The sequence  $\{d_n\}$  is nondecreasing and has limit  $\infty$ ; therefore the function  $\Psi(z) = \sum \Psi_p z^p$  is, in the terminology of [1], a comparison function. If we let

$$r = r' = \frac{n}{n+1} d_n ,$$

we have [1, p. 7]

$$M(r') \le \Psi(r') \le (n+1) d_n^n \Psi_n$$
.

A short computation shows that

$$u_n^{1/n} \leq \frac{X_n Y_n}{d_n} ,$$

where  $X_n = (1 + 1/n) (n + 1)^{K/n}$ ,

and  $Y_n = (d_n \Psi_n^{1/n})^K .$ 

Let N denote the set of integers n for which  $\left|a_n\right| = \Psi_n$ . Since  $\sum a_p z^p$  is of infinite order, it follows from [2, p.4, eq. 9] that there is an infinite subset N<sub>0</sub> of N such that

(5.8) 
$$\lim_{n \in \mathbb{N}_0} d_n \Psi_n^{1/n} = \lim_{n \in \mathbb{N}_0} d_n |a_n|^{1/n} = 1.$$

Equation (5.8) is, in fact, equivalent to

$$\lim \inf \frac{\log \mu(r)}{\nu(r)} = 0 ,$$

where in this case,  $\mu(r)$  and  $\nu(r)$  denote the maximum term and central index of the series  $\sum a_p z^p$ . With this formulation, (5.8) also follows as a special case of a theorem of S. M. Shah [8].

Making use of (5.7) and (5.8), we have

$$\lim \sup \frac{\left|a_n\right|^{1/n}}{u_n^{1/n}} \ge \lim \sup \frac{d_n \left|a_n\right|^{1/n}}{X_n Y_n}$$

$$\geq \lim_{n \in \mathbb{N}_0} \frac{d_n |a_n|^{1/n}}{X_n Y_n}$$

= 1

This completes the proof of Lemma 5.1c.

It is now an easy matter to complete the proof of Theorem 5.1. In Lemma 5.1b choose  $0 < \epsilon < 8$ . In Lemma 5.1c let  $K = 8/\epsilon$  and choose r so that

$$\frac{[M(r)]^{8/\epsilon}}{r^n} = u_n.$$

Then

$$\alpha_n \left| a_n \right|^{1/n} \ge \frac{\left| a_n \right|^{1/n}}{1+\epsilon} r[M(r)]^{-8/n\epsilon} = \frac{1}{1+\epsilon} \left| \frac{a_n}{u_n} \right|^{1/n}$$
.

Therefore

$$\lim \sup \alpha_n \left[a_n\right]^{1/n} \ge \frac{1}{1+\epsilon} ,$$

and, since  $\epsilon$  is arbitrary, (5.2) follows. This completes the proof.

Theorem 5.1 adds an interesting footnote to certain more general results on zeros of sections of power series. If  $\sum a_p z^p$  is an arbitrary entire function of infinite order and  $\epsilon > 0$ , it is known [2, 7] that for all sufficiently large integers n in the set  $N_0$  (of Lemma 5.1c), all but o(n) zeros of  $s_n(z)$  lie in the annulus

$$|a_n|^{-1/n} (1-\epsilon) < |z| < |a_n|^{-1/n} (1+\epsilon)$$
.

If  $\sum a_p z^p$  omits the value zero, it follows from Theorem 5.1 that for all sufficiently large  $n \in \mathbb{N}_0$ , no zero of  $s_n(z)$  lies in the interior of the inner circle of the annulus.

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